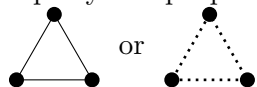


## Chapter 9 Ramsey Theory (complete chaos is not possible)

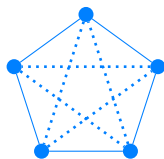
Suppose people at a party. Two know each other  $\bullet\text{---}\bullet$  or don't know each other  $\bullet\cdots\cdots\bullet$



**1:** Find a diagram of a party of 5 people such that no 3 people all know each other or do not know each other.

That is, we don't see



**Solution:**



**2:** Is it possible to find a diagram on 6 people without  and  ?  
(Hint: Pick one person and investigate who she knows...)

**Solution:** Pick person  $x$ . Without loss of generality assume he knows at least 3 other people. The these 3 either 1 pair know each other or non know each other - triangle is in both cases.

Notation:  $K_6 \rightarrow K_3K_3$  reads as “ $K_6$  arrows  $K_3K_3$ ” and means in every coloring of edges of  $K_6$  by two colors, there exists either  $K_3$  in the first color or  $K_3$  in the second color.

Notice that edges and non-edges can be treated as 2 colors.

**Theorem (Ramsey)**  $\forall m, n, \exists p$  such that  $K_p \rightarrow K_mK_n$ .

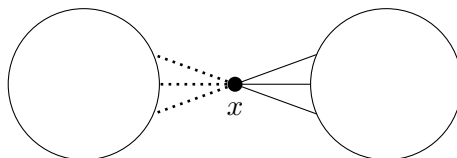
In other words, every 2-coloring of a huge graph  $K_p$  contains a monochromatic  $K_m$  or  $K_n$ .

Denote smallest  $p$  by  $r(m, n)$ .

**3:** Determine  $r(2, n)$  and  $r(1, n)$ .

**Solution:**  $r(1, n) = 1$  and  $r(2, n) = n$ . If one edge, is red, we have red  $K_2$ . If all edges are blue, we have blue  $K_n$ . It cannot be  $< n$ , otherwise all edges blue would not give red  $K_2$  or blue  $K_n$ .

**4:** Show that  $r(m, n) \leq r(m-1, n) + r(m, n-1)$ . (Hint: Consider  $p = r(m-1, n) + r(m, n-1)$  points. Pick any point  $x$  and study set of blue or red neighbors.)



**Solution:** By pigeonhole principle, either first part has size  $r(m-1, n)$  or the second has size  $r(m, n-1)$  (by pigeonhole principle). In either case, the result and  $x$  guarantee monochromatic  $K_m$  or  $K_n$ .

**Corollary** The Ramsey Number  $r(m, n)$  exists and  $r(m, n) \leq \binom{m+n-2}{m-1}$ .

**5:** Prove the corollary by induction.

**Solution:** The proof is by induction on  $m+n$ . If  $m+n = 2, 3$ , then this is obviously true.

$$r(m, n) \leq r(m-1, n) + r(m, n-1) = \binom{m+n-3}{m-2} + \binom{m+n-3}{m-1}$$

Hence by Pascal's identity

$$r(m, n) \leq \binom{m+n-2}{m-1}.$$

Calculating  $r(m, n)$  exactly is actually a very hard problem even for small  $m, n$ .

See <https://www.combinatorics.org/ojs/index.php/eljc/article/view/DS1/pdf>

	3	4	5	6	7
3	6	9	14	18	23
4		18	25	36–41	49–61
5			43–48	58–87	80–143
6				102–165	115–298

Ramsey's theorem can be extended to more than 2 colors. For  $c$  colors, we have  $K_p \rightarrow K_{n_1}K_{n_2} \cdots K_{n_c}$ .

**6:** Show Ramsey's theorem for 3 colors. That is, prove that  $r(m, n, o)$  is finite (minimum  $p$  such that  $K_p \rightarrow K_mK_nK_o$ ).

**Solution:** The trick is to show  $r(m, n, o) \leq r(m, r(n, o)) := n_r$ . First imagine that  $n$  and  $o$  being just one color. Let  $\varphi$  be a 3-edge-coloring of  $K_{n_r}$ . If  $\varphi$  contains  $K_m$  in the first color, we are done. Otherwise  $\varphi$  contains  $K_{r(n, o)}$  in the second and third color. By Ramsey's theorem for 2 colors, this  $K_{r(n, o)}$  contains a monochromatic  $K_n$  or  $K_o$ . This argument easily generalizes to more colors.

Let  $G_1, G_2, \dots, G_r$  be graphs. The Ramsey number,  $r(G_1, G_2, \dots, G_r)$  is the smallest  $n$  such that every  $r$ -edge coloring of  $K_n$  contains a monochromatic subgraph isomorphic to  $G_i$  in color  $i$  for some  $i \in 1 \dots r$ .

**7:** Show that  $r(G_1, G_2, \dots, G_r)$  is finite.

**Solution:** Let  $|V(G_i)| = k_i$ . Then  $r(G_1, G_2, \dots, G_r) \leq r(K_{k_1}, K_{k_2}, \dots, K_{k_r})$

For particular choices of  $G_i$ , the Ramsey number may be smaller than exponential.

**Proposition** Let  $s, t$  be positive integers, and let  $T$  be a tree on  $t$  vertices. Then  $r(T, K_s) = (s-1)(t-1) + 1$ .

**8:** Find a 2-coloring of  $K_{(s-1)(t-1)}$  without monochromatic copy of  $T$  in the first color and a monochromatic copy of  $K_s$  in the second color. Or find a graph on  $(s-1)(t-1)$  vertices that does not contain  $T$  as a subgraph and  $\alpha(G) \leq s-1$ .

**Solution:** Take  $s-1$  disjoint copies of  $K_{t-1}$ . Notice that this does not contain  $T$  and  $\alpha(G) = s-1$ .

**9:** Show that any graph  $G$  on  $(s-1)(t-1)+1$  vertices with  $\alpha(G) \leq s-1$  contains  $T$  as a subgraph.

*Hints: What is  $\chi(G)$ ? Can you find a subgraph of  $G$  with a large minimum degree? Can you find  $T$  in it?*

**Solution:** Because  $\alpha(G) \leq s-1$ ,  $\chi(G) \geq ((s-1)(t-1)+1)/(s-1) \geq t$ . In every graph  $G$  with  $\chi(G) \geq t$  exists a subgraph with minimum degree  $t-1$ , why? Take such subgraph  $H$  of  $G$ . Now every graph with minimum degree  $t-1$  contains any tree on  $t$  vertices. It can be found simply by a greedy embedding algorithm. Hence  $H$  contains  $T$  as a subgraph.

Ramsey's theorem can be extended to coloring more than pairs of vertices. For  $c$  colors, we have

$$K_p^t \rightarrow K_{n_1}^t K_{n_2}^t \cdots K_{n_c}^t,$$

which means that if we color all  $t$  subsets of vertices by  $c$  colors, there exists  $i$  such that there are  $n_i$  vertices where all  $t$ -subsets have color  $i$ .

One could do even an infinite version of the Ramsey's theorem.

**Theorem** (Infinite Ramsey)

Let  $k, c$  be positive integers, and  $X$  an infinite set. If each  $k$ -subset of  $X$  is colored with one of  $c$  colors, then  $X$  has an infinite monochromatic subset.

*Proof* The proof goes by induction on  $k$ .

**10:** Show the theorem is true for  $k=1$

**Solution:** We are coloring infinitely elements by  $c$  colors. Hence there must be one color-class that has infinitely many points in it.

Now assume that each  $k$ -subsets of  $X$  are colored by one of  $c$  colors. We will create an infinite sequence  $X_0, X_1, \dots$  of infinite subsets of  $X$  and choose elements  $x_i \in X_i$  with the following properties

1.  $X_{i+1} \subseteq X_i \setminus \{x_i\}$
2. all  $k$ -subsets  $\{x_i\} \cup Z$  with  $Z \subseteq X_{i+1}$  have the same color, which we associate to  $x_i$ .

**11:** Show that if we have the sequence  $X_0, X_1, \dots$  and  $x_0, x_1, \dots$ , it is easy to finish the proof.

**Solution:** There are finitely many colors. Hence exists infinite  $Y \subseteq \{x_0, x_1, \dots\}$  where all elements in  $Y$  have the same associated color. That means every  $k$ -subset in  $Y$  has the same color.

**12:** Show how to iteratively build  $X_0, \dots$  using induction on  $k$ .

*Hint: Start  $X_0 := X$  and pick  $x_0$  arbitrarily. How to get  $X_1$ ?*

**Solution:** Color each  $k-1$  subset  $Z$  of  $X_i \setminus \{x_i\}$  by the color of  $Z \cup \{x_i\}$ . By induction, there exists an infinite monochromatic subset of  $X_i \setminus \{x_i\}$  for this coloring of  $k-1$  sets. We call it  $X_{i+1}$  and pick  $x_{i+1}$  in  $X_{i+1}$  arbitrarily.

**Probabilistic lower bound by Erdős**  $r(k, k) \geq \lfloor 2^{k/2} \rfloor$  for all  $k \geq 3$ .

Consider a random coloring of edges of  $K_n$  by red and blue. That is for each edge of  $K_n$  we choose independently uniformly at random if the edge is red or blue.

**13:** What is the number of edges of  $K_n$ ?

**Solution:**  $\binom{n}{2}$

**14:** What is the probability that a fixed set of  $k$  vertices induces a red clique? (all edges are red)

**Solution:**  $\frac{1}{2^{\binom{k}{2}}} = \left(\frac{1}{2}\right)^{\binom{k}{2}} = 2^{-\binom{k}{2}}$

**15:** What is the probability that a fixed set of  $k$  induces a monochromatic clique? (all edges red or blue)

**Solution:**  $2^{1-\binom{k}{2}}$

**16:** What is the possible number of  $k$ -subsets? (candidates for monochromatic cliques)

**Solution:**  $\binom{n}{k}$

**17:** What is the expected number of monochromatic subsets of size  $k$ ?

Recall expected value of  $X$  is  $EX = \sum_X p(X)X$ .

**Solution:**  $\binom{n}{k} 2^{1-\binom{k}{2}}$

**18:** Try to use  $n = \lfloor 2^{k/2} \rfloor$  and give an upper bound on the expected value.

**Solution:**  $\binom{n}{k} 2^{1-\binom{k}{2}} \leq \frac{n^k}{k!} \cdot 2^{1-\frac{k^2-k}{2}} = \frac{2^{\frac{k^2}{2}}}{k!} \cdot \frac{2^{1+\frac{k}{2}}}{2^{\frac{k^2}{2}}} = \frac{2^{1+\frac{k}{2}}}{k!} < 1$

**19:** What happens if the upper bound is  $< 1$ ?

**Solution:** There must be one an entry with value 0.

**20:** *Open problem* Find a nice proof that  $r(4, 5) = 25$ .

**21:** *Open problem* Determine  $r(5, 5)$ .

**22:** *Open problem* Determine (asymptotically)  $r(k, k)$ .